PTO/SB/21 (09-08) Approved for use through 03/31/2007, QMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to collection of information unless it displays a valid OMB control number. Application Number 10/010 114 RECEIVED TRANSMITTAL Filing Date November 13, 2001 **CENTRAL FAX CENTER** First Named Inventor FORM R. Boutin et al Art Unit 1645 Examiner Name (to be used for all correspondence effer initial filing) Altorney Docket Number AHP1CUSA Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please identify Terminal Disclaimer Extension of Time Request below): Request for Refund Express Abandonment Request 1 pp. Statement under 37 CFR 3.73(b) CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Customer No. 38199 Incomplete Application Reply to Missing Parts Facsimile No. 1-571-273-8300 under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Howson & Howson LLP Signature Printed name Cathy A. Kodroff Date February 28, 2007 33.980 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Signature Lynn Brown-Fischer Date Typed or printed name February 28, 2007

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) SIGNATURE of Applicant or Assignee of Record						
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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple						
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: wveth Filed/fssue Date: November 13, 2001 Application No./Patent No.: 10/010,114 Entitled: MULTIFUNCTIONAL MOLECULAR COMPLEXES FOR GENE THERAPY TO CELLS Weth Comoration (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: 1. w the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is_ in the patent application/patent identified above by virtue of either: An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel ______, Frame _____, or for which a copy thereof is attached. B. A chain of title from the inventor(s), of the grandparent patent application, patent No. 08/809,397, to the current assignee as follows: 1. From: Raymond H. Boutin To: Apollon, Inc. The document was recorded in the United States Patent and Trademark Office at Reel <u>008561</u>, Frame <u>0898</u> _, or for which a copy thereof is attached. 2. From: Apollon, Inc. To: American Home Products Corporation The document was recorded in the United States Patent and Trademark Office at __, Frame __0902 Reel 009640. , or for which a copy thereof is attached. To: Wyeth 3. From: American Home Products Corporation The document was recorded in the United States Patent and Trademark Office at Frame 0248 Reel 012822 _, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11, [NOTE; A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.081 The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Signature Date Gale F. Matthews (973) 660-5000 Printed or Typed Name Telephone Number Assistant Secretary

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